1624

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certify that the correspondence to which this certificate is affixed, including each document referred to therein as being enclosed or submitted therewith, is being deposited with the United States Postal Service with sufficient postage as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Reg. No. 38522

Date: 1/14/04

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Shalini Sharma, et al. Atty. Ref.:

18015-D1

Appl. No.:

10/684,644

Group Art Unit: 1624

Filed:

October 14, 2003

Examiner:

S. Patel

Title:

COMPOUNDS FOR THE TREATMENT OF METABOLIC

**DISORDERS** 

January 14, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Examiner is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are in the parent application serial number 10/167,839 and are therefore not being submitted with this IDS.

This Communication is being filed within three months of the filing date or before the mailing of a first Office Action on the merits. Consideration of this Statement is respectfully requested.

Inventor(s): Sharma, et al. Application No.: 10/684,644

Page 2

The documents WO 97/30017 and WO 98/07681, which are not in the English language each contain an English-language abstract. In addition, each of them contains chemical structures that use Latin characters and are not language specific.

It is believed that no fee is required in connection with the filing of this Statement. If any fee is required, the Commissioner is hereby authorized to charge the amount of such fee to Deposit Account No. 50-1677.

Respectfully submitted,

Lewis J. Kreisler Reg. No. 38522

Attorney for Applicant(s)

930 Clopper Road Gaithersburg, MD 20878

Phone: (240) 631-2500 x3276 Facsimile: (240) 683-3794

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of | 10 Sheet 1

C mpl t if Known				
Application Number	10/684,644			
Filing Date	October 14, 2003			
First Named Inventor	Shalini Sharma			
Art Unit	1624			
Examiner Name	Sudhaker Patel			
Attorney Docket Number	18015-D1			

			U.S. PATI	ENT DOCUMENTS	
Examiner Initials		Document Number Number - Kind Code <sup>2</sup> (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 4,845,231	07-04-1989	Kees	
		US- 5,948,803	09-07-1999	Maeda, et al.	
		US- 5817693 (abstract)			
		US-4011321 (abstract)			
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Examiner Initials	Cite No.1	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		WO 02/59077 (abstract)				
		WO 02/14291 (abstract)				
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		WO 01/81340 (abstract)				
		BR 9802163 (abstract)				
		JP 01/181281 (abstract)				
		WO 00/23409 (abstract)				
	<u> </u>	WO 99/62520 (abstract)				
		WO 98/25608 (abstract)				
		JP 10130241 (abstract)				

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

<sup>1</sup> Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.



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C mplet if Known Substitute for form 1449A/PTO 10/684,644 **Application Number** INFORMATION DISCLOSURE October 14, 2003 Filing Date STATEMENT BY APPLICANT **First Named Inventor** Shalini Sharma Art Unit 1624 (use as many sheets as necessary) **Examiner Name** Sudhaker Patel Attorney Docket Number 18015-D1 Sheet 2 of | 10

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Examiner Initials	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		WO 98/08836 (abstract)				
		WO 95/13262 (abstract)				
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		WO 93/08799 (abstract)				
		JP 04159266 (abstract)				
		EP 407891 (abstract)				
		EP 393400 (abstract)				
		JP 01063570 (abstract)				
		WO 88/08418 (abstract)				
İ		EP 288190 (abstract)				

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Complet if Known Substitute for form 1449A/PTO 10/684,644 **Application Number** INFORMATION DISCLOSURE October 14, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Shalini Sharma **Art Unit** 1624 (use as many sheets as necessary) Sudhaker Patel **Examiner Name** Attorney Docket Number 18015-D1 of | 10 Sheet 3

			U.S. PATE	ENT DOCUMENTS	
Examiner Initials		Document Number Number- Kind Code <sup>2</sup> (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		JP 61040270 (abstract)				
		JP 55015460 (abstract)				
	i	GB 1488330 (abstract)				
		JP 52025734 (abstract)				
		DE 2527066 (abstract)				i
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		JP 47025149 (abstract)				
		JP 56115747 (abstract)				
		DE 3012012 (abstract)				1

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Complete if Kn wn				
Application Number	10/684,644			
Filing Date	October 14, 2003			
First Named Inventor	Shalini Sharma			
Art Unit	1624			
Examiner Name	Sudhaker Patel			
Attorney Docket Number	18015-D1			

			U.S. PATI	ENT DOCUMENTS	
Examiner Initials		Document Number Number- Kind Code <sup>2</sup> (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
Initials	No.1	Country Code 3 - Number 4 - Kind Code 5 (if known)		Applicant of Cited Document	or Relevant Figures Appear	T6
		JP 50014653 (abstract)				
		DE 2512673 (abstract)				
		DE 1929165 (abstract)				İ
		ZA 6705655 (abstract)				
		WO 97/30017	08-21-1997	Shinkai, et al.		
		WO 98/07681	02-26-1998	Moinet, et al.		
		WO 99/62520	12-09-1999	Young, et al.		
		EP 71399	02-09-1983	Tsung, et al.		
		WO 92/12123	07-23-1992	Schirlin, et al.		
1		WO 00/63161	10-26-2000	Kolb, et al.		

Examiner	Date	
Signature	Considered	



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Sheet 5

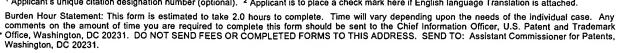
Compl t if Known					
Application Number	10/684,644				
Filing Date	October 14, 2003				
First Named Inventor	Shalini Sharma				
Group Art Unit	1624				
Examiner Name	Sudhaker Patel				
Attorney Docket Number	18015-D1				

		NON PATENT PUBLICATIONS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	1
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4		Poupardin, et al.,"First approach to the cycloisodityrosine unit of RA-IV", Tetrahedron Letters, Vol. 42, No. 8, pages 1523-1526, 2001. (Abstract)	
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Examiner	Date
Signature	Considered

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Compl t if Known Substitute for form 1449B/PTO 10/684,644 **Application Number** INFORMATION DISCLOSURE October 14, 2003 Filing Date **First Named Inventor** Shalini Sharma STATEMENT BY APPLICANT 1624 Group Art Unit Sudhaker Patel (use as many sheets as necessary) Examiner Name

Attorney Docket Number

of 10

Sheet |6

_		NON PATENT PUBLICATIONS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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No.		Tanaka, et al., "Total synthesis of daphneticin, a coumarinolignoid", Chem. Pharm. Bull., Vol. 34, No. 2 pages 628-632, 1986. (Abstract)	

Examiner	Date
Signature	Considered

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE aduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

C mpl t if Known Substitute for form 1449B/PTO **Application Number** 10/684,644 INFORMATION DISCLOSURE October 14, 2003 Filing Date Shalini Sharma First Named Inventor STATEMENT BY APPLICANT 1624 Group Art Unit **Examiner Name** Sudhaker Patel (use as many sheets as necessary) Attorney Docket Number of 10 18015-D1 Sheet 7

Under the Pape control number.

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Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	٦
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Signature	Considered	

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C mplet if Known Substitute for form 1449B/PTO 10/684,644 **Application Number** INFORMATION DISCLOSURE October 14, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Shalini Sharma 1624 Group Art Unit Examiner Name Sudhaker Patel (use as many sheets as necessary) of 10 Attorney Docket Number 18015-D1 Sheet 8

		NON PATENT PUBLICATIONS	
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C mplete if Known **Application Number** 10/684,644 October 14, 2003 Filing Date Shalini Sharma **First Named Inventor** 1624 **Group Art Unit** Sudhaker Patel Examiner Name

18015-D1

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		Abstract 568-P, Lu, et al., "A Novel Antidiabetic Agent That Is a Selective PPAR Modulator", Diabetes, Abstract Book 62nd Scientific Sessions, Vol 51, Suppl. 2., page A141, June 2002.	
		Abstract 586-P, von Borstel, et al. "PN2034: Reversal of Insulin Resistance and Attenuation of Weight Gain in Mice on a High-Fat Diet", Diabetes, Abstract Book 62nd Scientific Sessions, Vol 51, Suppl. 2, page A145, June 2002.	
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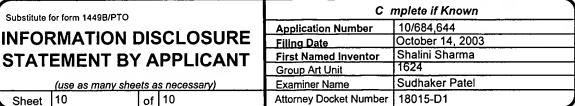
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***************************************		Chemical Abstract DN 117:251790, also cited as Wo 92/12123.	-		
<u>, , , , , , , , , , , , , , , , , , , </u>	·	Chemical Abstract DN 113: 115839, also cited as Synthetic communications, 20/5,773-81, 1990.			
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		Kawamatsu et al., Arzneim. Forsh., Vol. 30 ISS3, 454-459, 1980.			

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